PTO/SB/08a (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

substitute for for 11449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

. IAN 1 2 2007

Complete if Known **Application Number** 10/802,606 Filing Date March 17, 2004 First Named Inventor Ziemann et al. Art Unit 2167 Examiner Name Cheryl Renea Lewis

(USE AS MANY SHEETS AS NECESSARY)

Sheet Of Attorney Docket Number 14846-54 4

			U.S. PATEN	IT DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/CL/		6266683	07/24/2001	Yehuda et al.	
8		6557039	04/29/2003	Leong et al.	
0000		5313616	05/17/1994	Cline et al.	14 700 15 10 10 10 10 10
000		5835770	11/10/1998	Shum et al.	
0000		5872976	02/16/1999	Yee et al.	
0000		5347518	09/13/1994	Lee	
000		5828883	10/27/1998	Hall	
000		5701471	12/23/1997	Subramanyam	
0000		5748878	05/05/1998	Rees et al.	
000		5752034	05/12/1998	Srivastava	
8		5758061	05/26/1998	Plum	100000
000		5920719	07/06/1999	Sutton et al.	
000		6026237	02/15/2000	Berry et al.	
		6029002	02/22/2000	Afifi et al.	
		6058393	05/02/2000	Meier et al.	
8		6125390	09/26/2000	Touboul	
000		6138112	10/24/2000	Slutz	Anny Angeres Control
8		6145121	11/07/2000	Levy et al.	
8		6237143	05/22/2001	Fontana et al.	
8		6243862	06/05/2001	Lebow	
8		6269479	07/31/2001	Puram	
8		6301701	10/09/2001	Walker et al.	
-		6311327	10/30/2001	O'Brien et al.	
000		6467052	10/15/2002	Kaler et al.	
-		2002/0049666	04/25/2002	Reuter et al.	
		5787402	07/28/1998	Potter et al.	
-		5159687	10/27/1992	Richburg	
-		6188400	02/13/2001	House et al.	
		6256635	07/03/2001	Arrouye et al.	· · · · · · · · · · · · · · · · · · ·
-		6311320	10/30/2001	Jibbe	
9		6453310	09/17/2002	Zander	
		6681380	01/20/2004	Britton et al.	
8		6697835	02/24/2004	Hanson et al.	
- 8		6701514	03/02/2004	Haswell et al.	
-		6714219	03/30/2004	Lindhorst et al.	
		2003/0027561	02/06/2003	Iyer	
		2003/0140045	07/24/2003	Heninger et al.	
-		6163776	12/19/2000	Periwal Period	
		6539383	03/25/2003	Charlet et al.	
		6418448	07/09/2002	Sarkar	
		6456995	09/24/2002	Salo et al.	
		5907846	05/25/1999	Berner et al.	
		6081808	06/27/2000	Blackman et al.	
+		6279008	08/21/2001	Tung Ng et al.	
+		6385618	05/07/2002	Ng et al.	
		6397221	05/28/2002	Greef et al.	
\ 		6405209	06/11/2002	Obendorf	
		6418451	07/09/2002	Maimone	

Substitute for	form 1449A/PTO			Complete if Known			
			_	Application Number	10/802,606		
l In	NFORMATION D	DISCLOSUF	RE	Filing Date	March 17, 2004		
STATEMENT BY APPLICANT			Т	First Named Inventor	Ziemann et al.		
	STATEMENT DI AFFEICANT		•	Art Unit	2167		
	(USE AS MANY SHEETS A	S NECESSARY)		Examiner Name	Cheryl Renea Lewis		
Sheet	2	Of	4	Attorney Docket Number	14846-54		

		· · · · · · · · · · · · · · · · · · ·		DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/CL/		6477540	11/05/2002	Singh et al.	
R		6490581	12/03/2002	Neshatfar et al.	
		6502104	12/31/2002	Fung et al.	
		6539397	03/25/2003	Doan et al.	
		6539398	03/25/2003	Hannan et al.	
		2002/0091702	07/11/2002	Mullins	
		2005/0027658	02/03/2005	Moore et al.	
		5774553	06/30/1998	Rosen	
		2002/0038320	03/28/2002	Brook	
8000		2003/0018666	01/23/2003	Chen et al.	
		2003/0069975	04/10/2003	Abjanic et al.	
000		2003/0070158	04/10/2003	Lucas et al.	
8000		2003/0140308	07/24/2003	Murthy et al.	
8		2003/0163603	08/28/2003	Fry et al.	
, , , , , , , , , , , , , , , , , , ,		2003/0167445	09/04/2003	Su et al.	
000		2003/0177341	09/18/2003	Devillers	
0000		6601075	07/29/2003	Huang et al.	
00000		2003/0145047	07/31/2003	Upton	
8		2003/0177118	09/18/2003	Moon, et al.	
×		2005/0060345	03/17/2005	Doddington	
00000		5630173	05/13/1997	Oprescu	
8000		5784557	07/21/1998	Oprescu	
9000		6009405	12/28/1999	Leymann et al.	1144
000		6065009	05/16/2000	Leymann et al.	
		6108698	08/22/2000	Tenev et al.	
		6381609	04/30/2002	Breitbart et al.	
3000		6502095	12/31/2002	Breitbart et al.	
		6539337	03/25/2003	Provan et al.	
-		2002/0116205	08/22/2002	Ankireddipally et al.	
		2002/0144101	10/03/2002	Wang et al.	
		2002/0188765	12/12/2002	Fong et al.	
		2003/0217033	11/20/2003	Sandler et al.	
		3872448	03/18/1975	Mitchell Jr.	
8		5764972	06/09/1998	Crouse et al.	
		5832523	11/03/1998	Kanai et al.	
000		5845293	12/01/1998	Veghte et al.	
000		6226652	05/01/2001	Percival et al.	
		6263121	07/17/2001	Melen et al.	-
8		6336122	01/01/2002	Lee et al.	
000		6535894	03/18/2003	Schmidt et al.	
800		6574640	06/03/2003	Stahl	
8		6651076	11/18/2003	Asano	
8		6678705	01/13/2004	Berchtold et al.	
1		6691139	02/10/2004	Ganesh et al.	
1		6711594	03/23/2004	Yano et al.	
		2002/0007287	01/17/2002	Straube et al.	
17		2002/0029228	03/07/2002	Rodriguez, et al.	
₩		2002/0038226	03/28/2002	Tyus	

Substitute for t	form 1449A/PTO			Co	omplete if Known
			_	Application Number	10/802,606
IN	IFORMATION DISC	LOSUF	RE	Filing Date	March 17, 2004
5	TATEMENT BY APP	ICAN	T	First Named Inventor	Ziemann et al.
	IAIEIIEN DI AII		•	Art Unit	2167
	(USE AS MANY SHEETS AS NECES	SSARY)		Examiner Name	Cheryl Renea Lewis
Sheet	3	Of	4	Attorney Docket Number	14846-54

				J.S. PATENT	DOCUME	ITS	-		
Examiner Initials*	Cite No. ¹	Document Number Number-Kind ^{2 (if known)}	Publicati MM-DD			lame of Patentee of cant of Cited Docu		Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear	
/CL/		2002/0178439	11/28/2002		Rich et	al			
		2003/0046313	03/06/2003		Leung e	t al.			
		2003/0191849	10/09/2003		Leong e	t al.			
		2003/0217083	11/20/2003		Taylor				
		2004/0122872	06/24/2004		Pandya	et al.			
		2002/0065695	05/30/2002		Francoe	ur et al.			
8888		2005/0065987	03/24/2005		Telknov	vski et al.			
		5168444	12/01/1992		Cukor e	t al.			
20000		5278982	01/11/1994		Daniels	et al.			
		5455946	10/03/1995		Mohan				
		5978477	11/02/1999	,	Hull et	al.			
		6449623	09/10/2002		Bohann	on et al.			
		6665086	12/16/2003		Hull et a	ıl.			
8		6012087	01/04/2000		Freivalo	, et al.			
8		6763384	07/13/2004		Gupta, e	t al.			
8		6880010	04/12/2005		Webb, e	t al.			
ò		6918013	07/12/2005		Jacobs,	et al.			
0000		6938072	08/30/2005		Berman	, et al.			
		2004/0060006	03/25/2004		Lindbla	d et al.	ĺ		
\//		5202986	04/13/1993		Nickel				
**		5471613	11/28/1995		Banning				
	0.4			REIGN PATE					
Examiner Initials*	Cite No. ¹	Foreign Patent Docum Country Code ³ Number ⁴ -Kind Co		Publication MM-DD		Name of F Applicant of C	Patentee or ited Documen	Pages, Columns, Lines, W Relevant Passages or Rele Figures Appear	
/CL/		95/03586 WO	-	02/02/	02/02/1995 H		ger et al.		
/CL/		96/34350 WO		10/31/	1996		ff et al.		
ČĽ/		02/046980 WO		06/13/	2002	Lichtent	erg et al.		
xaminer ignature		/Cheryl Lewis/	1				Date Considered	10/22/2008	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Unice; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO		Complete if Known			
	_	Application Number	10/802,606		
INFORMATION DISCLOSUR	KE .	Filing Date	March 17, 2004		
STATEMENT BY APPLICAN	Т	First Named Inventor	Ziemann et al.		
517(121121(1) 51 7(1) 216/(1)	•	Art Unit	2167		
(USE AS MANY SHEETS AS NECESSARY)		Examiner Name	Cheryl Renea Lewis		
Sheet 4 Of	4	Attorney Docket Number	14846-54		

		NON PATENT LITERATURE DOCUMENTS						
Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,	Т					
Initi	No. 1	magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city						
als*		and/or country where published.						
/CL/		DENG ET AL.; A PROBABILISTIC APPROACH TO FAULT DIAGNOSIS IN LINEAR LIGHTWAVE						
/ W		NETWORKS, DEPARTMENT OF ELECTRICAL ENGINEERING, 05/1992, PP. 1-22						
900		DEUTSCH ET AL., STORING SEMISTRUCTURED DATA WITH STORED, PROCEEDINGS OF THE 1999 ACM						
800		SIGMOD INTERNATIONAL CONFERENCE ON MANAGEMENT OF DATA, ACM, 1999, PAGES 431-442						
90		DUGGAN; 2.40 GENERAL - REVIEWS AND ABSTRACTS, SPI DATABASE OF SOFTWARE TECHNOLOGIES,						
		PG. 1-5, 02/01/1974						
	ŀ	HILBERT, ET AL., AN APPROACH TO LARGE SCALE COLLECTION OF APPLICATION USAGE DATA OVER						
		THE INTERNET, SOFTWARE ENGINEERING 1998, PROCEEDINGS OF THE 1998 INTERNATIONAL						
		CONFERENCE, ABSTRACT, 04/1998						
		JAGADISH ET AL., TAX: A TREE ALGEBRA FOR XML, SPRINGER-VERIAG, 202, PAGES 149-164 1/2002						
9000		JAGADISH ET AL., TIMBER, A NATIVE XML DATABASE, PUBLISHED ONLINE; DECEMBER 19, 2002,	Γ					
		SPRINGER-VERIAG, PAGES 274-291						
8		MOSER; TRANSACTIONS IN A DISTRIBUTED OBJECT ENVIRONMENT, DEPARTMENT OF ELECTRICAL						
		AND COMPUTER ENGINEERING, 06/19/2005						
9000		QUANTITATIVE MONITORING OF SOFTWARE DEVELOPMENT BY TIME-BASED AND						
8		INTERCHECKPOINT MONITORING, SOFTWARE ENGINEERING JOURNAL, VOL. 5, ISS. 1, ABSTRACT, JAN-						
		90						
		SAMMET; BEGINNING OF 4.6 SOFTWARE EVALUATION, TESTS AND MEASUREMENTS AND RMF I/O TIME VALIDATION, ASSOCIATION OF COMPUTING MACHINERY, PG. 519						
.								
W		STROM ET AL.; GRYPHON: AN INFORMATION FLOW BASED APPROACH TO MESSAGE BROKERING,						
₩		INTERNATIONAL SYMPOSIUM ON SOFTWARE RELIABILITY, 06/20/2005						

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

Considered

10/22/2008

Examiner

Signature

/Cheryl Lewis/

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.